

SECURITIES AND FUTURES (OTC DERIVATIVE TRANSACTIONS—
CLEARING AND RECORD KEEPING OBLIGATIONS
AND DESIGNATION OF CENTRAL COUNTERPARTIES)
RULES ('CLEARING RULES')

Pursuant to rule 3(3) of the Clearing Rules, and having obtained the consent of the Monetary Authority, the Securities and Futures Commission (the Commission) revokes from 1 January 2022 the designation of DB Privat- und Firmenkundenbank AG as a financial services provider for the purposes of the Clearing Rules.

Pursuant to rule 3(1) of the Clearing Rules, and having obtained the consent of the Monetary Authority, the Commission designates from 1 January 2022 TD Global Finance Unlimited Company as a financial services provider for the purposes of the Clearing Rules, and it (despite any subsequent change of name) continues to be a financial services provider until the Commission revokes its designation under rule 3(3) of the Clearing Rules.

The full list of financial services providers including the persons designated in previous Government Notices (G.N. 4776, G.N. 9212, G.N. 7083 and G.N. 7127) (whose designations have not been revoked) is as follows:—

(in alphabetical order)

1. Agricultural Bank of China Limited
2. Banco Santander S.A.
3. Bank of America, N.A.
4. Bank of America Europe Designated Activity Company (previously stated as 'Bank of America Merrill Lynch International Designated Activity Company')
5. Bank of China (UK) Ltd
6. Banque Palatine S.A.
7. Barclays Bank Ireland Plc
8. Barclays Bank PLC
9. Barclays Bank UK PLC
10. Barclays Capital Inc.
11. BNP Paribas Fortis SA/NV
12. BNP Paribas SA
13. BNP Paribas Securities Corp.
14. BofA Securities, Inc.
15. BofA Securities Europe SA
16. BofA Securities Japan Co., Ltd (previously stated as 'Merrill Lynch Japan Securities Co., Ltd.')
17. CACEIS Bank SA
18. Citibank, N.A.
19. Citibank Europe Plc
20. Citigroup Global Markets Europe AG
21. Citigroup Global Markets Inc.
22. Citigroup Global Markets Japan Inc.
23. Citigroup Global Markets Limited
24. Credit Agricole Corporate and Investment Bank
25. Credit Foncier de France
26. Credit Suisse (Schweiz) AG
27. Credit Suisse AG
28. Credit Suisse International
29. Credit Suisse Securities (Japan) Limited
30. Credit Suisse Securities (USA) LLC
31. Credit Suisse Securities Sociedad de Valores, S.A.

32. Deutsche Bank AG
33. Deutsche Bank Securities Inc.
34. Goldman Sachs and Co. LLC
35. Goldman Sachs Bank Europe SE
36. Goldman Sachs Bank USA
37. Goldman Sachs Financial Markets Pty Ltd
38. Goldman Sachs International
39. Goldman Sachs Japan Co., Ltd.
40. HSBC Bank plc
41. HSBC Bank USA, N.A.
42. HSBC Continental Europe (previously stated as 'HSBC France')
43. HSBC Securities (USA) Inc.
44. HSBC UK Bank plc
45. ING Bank N.V.
46. ING Bank Slaski S.A.
47. ING-DiBa AG
48. J.P. Morgan AG
49. J.P. Morgan Securities LLC
50. J.P. Morgan Securities plc
51. JPMorgan Chase Bank, N.A.
52. JPMorgan Securities Japan Co., Ltd.
53. Merrill Lynch Capital Services Inc.
54. Merrill Lynch International
55. Mitsubishi UFJ Morgan Stanley Securities Co., Ltd.
56. Mizuho Bank, Ltd.
57. Mizuho Capital Markets LLC
58. Mizuho International plc
59. Mizuho Securities USA LLC
60. Morgan Stanley & Co. International plc
61. Morgan Stanley & Co. LLC
62. Morgan Stanley Capital Services LLC
63. Morgan Stanley Europe SE
64. Morgan Stanley MUFG Securities Co., Ltd.
65. MUFG Bank, Ltd.
66. MUFG Securities EMEA plc
67. National Westminster Bank Plc
68. NATIXIS
69. NatWest Markets N.V.
70. NatWest Markets plc
71. Nomura Financial Products & Services, Inc.
72. Nomura Global Financial Products, Inc.
73. Nomura International plc
74. Nomura Securities Co., Ltd.
75. Nomura Securities International, Inc.
76. Nordea Bank Abp
77. RBC Capital Markets, LLC
78. RBC Europe Limited
79. Royal Bank of Canada
80. Santander Financial Services Plc
81. Santander Investment Securities Inc.

82. SG Americas Securities LLC
83. SMBC Capital Markets Inc.
84. SMBC Nikko Securities Inc.
85. Societe Generale
86. Societe Generale International Limited
87. Standard Chartered Bank
88. Sumitomo Mitsui Banking Corporation
89. Sumitomo Mitsui Trust Bank, Limited
90. TD Bank, N.A.
91. TD Bank USA, N.A.
92. TD Global Finance Unlimited Company
93. The Bank of New York Mellon
94. The Toronto-Dominion Bank
95. UBS AG
96. UBS Europe SE
97. UBS Securities LLC
98. UBS Switzerland AG
99. UniCredit Bank AG
100. UniCredit Bank Austria AG
101. UniCredit S.p.A.
102. Wells Fargo Bank, N.A.
103. Wells Fargo Securities, LLC

26 November 2021

Rico LEUNG
*Commission Member and Executive Director,
Securities and Futures Commission*