

NOTICE

In pursuance of Sections 4 and 5 of the Transfer of Business (Protection of Creditors) Ordinance (Chapter 49 of the Laws of Hong Kong) (the “Ordinance”)

NOTICE IS HEREBY GIVEN THAT Deutsche Bank Aktiengesellschaft, a limited liability corporation registered with the commercial register of the local court of Frankfurt am Main, Germany with registered number HRB 30000 (“DB”) and whose address in Hong Kong is at Level 52, International Commerce Centre, 1 Austin Road West, Kowloon, Hong Kong, has been carrying on the businesses of, among other things, prime finance and electronic equities in Hong Kong (the “Businesses”) at the above address together with its affiliate, Deutsche Securities Asia Limited, whose address is at Level 52, International Commerce Centre, 1 Austin Road West, Kowloon, Hong Kong (together with DB, the “Transferors”), under the Transferors’ names.

DB has entered into an agreement with BNP Paribas, (“BNPP”, a societe anonyme incorporated with limited liability under the laws of France and, together with its affiliate, BNP Paribas Securities (Asia) Limited, the “Transferees”) to, among other things, (i) transfer certain hardware and software assets relating to the Businesses to the Transferees, (ii) facilitate the offer of employment by the Transferees to certain employees of the Transferors, and (iii) refer certain clients in respect of the Businesses to the Transferees (together, the “Transfer”). The address of the Transferees in Hong Kong is at 59–63 Floor, Two International Finance Centre, 8 Finance Street, Central, Hong Kong. The Transfer in Hong Kong is scheduled to commence on or around 15th April 2020 (or such later date as the Transferors and Transferees may agree). The Transferees already carry on prime finance and electronic equities businesses and intend to continue carrying on the Business after the Transfer at the address of the Transferees given above under the Transferees’ names.

NOTICE IS HEREBY GIVEN THAT at the expiration of one (1) month after the date of the last publication of this notice, the liability of each Transferee (if any) for all the debts and

obligations arising out of the carrying on of the Business by the Transferors shall cease by virtue of the Ordinance unless proceedings are instituted prior to such expiration.

Dated the 20th day of March 2020.

Deutsche Bank Aktiengesellschaft, acting
through its Hong Kong branch
A Transferor

Deutsche Securities Asia Limited
A Transferor

BNP Paribas, acting through its Hong Kong
branch
A Transferee

BNP Paribas Securities (Asia) Limited
A Transferee