

G.N. 3181

SECURITIES AND FUTURES ORDINANCE (Chapter 571)

Pursuant to sections 169 and 399 of the Securities and Futures Ordinance, the Securities and Futures Commission has determined the following jurisdictions to be comparable OTCD jurisdictions under paragraph 20.5 of the Code of Conduct for Persons Licensed by or Registered with the Securities and Futures Commission, effective 14 June 2019.

Comparable OTCD jurisdictions

Australia

Canada

Mainland China

France

Germany

Ireland

Italy

Japan

Netherlands

Republic of Korea

Singapore

Spain

South Africa

Switzerland

United Kingdom

United States of America

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*Deputy Chief Executive Officer and
Executive Director, Intermediaries
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