

ESTATE AGENTS ORDINANCE (Chapter 511)

Pursuant to section 30 of the Estate Agents Ordinance, it is hereby notified that the Disciplinary Committee of Estate Agents Authority has exercised the following disciplinary power against the following licensee:—

Licence No. S-400043

Name of Licensee AU Lai Mei

Matter Concerned

The licensee (i) failed to ensure that the representative signing on behalf of the absent party had obtained written authorization from the latter before arranging for the representative to sign the provisional sale and purchase agreement and the estate agency agreement; (ii) failed to exercise due care and due diligence to ensure that the validity period of the estate agency agreement was stated in the form; and (iii) required the purchaser to settle the agent's commission first before releasing the keys of the property concerned to her.

Date of Decision 12 February 2018

Decision on Disciplinary Power Exercised

1. In respect of the aforesaid matter (i):—
 - (a) The licensee is reprimanded; and
 - (b) The following condition is attached to the licence concerned of the licensee:—

‘The above-named licensee shall, during the period of 12 months from 5 March 2018 to 4 March 2019, attend and complete activities under the Estate Agents Authority Continuing Professional Development Scheme ‘Compliance and Effective Management’ category by way of seminars or lectures only and thereby acquire 12 points recognised by the Estate Agents Authority.’
2. In respect of the aforesaid matter (ii):—

The licensee is reprimanded.
3. In respect of the aforesaid matter (iii):—
 - (a) The licensee is reprimanded; and
 - (b) The licensee is fined HK\$1,000.

29 March 2018

Estate Agents Authority