L.N. 34 of 2018

Section 1

B389

L.N. 34 of 2018

Securities and Futures (Stock Markets, Futures Markets and Clearing Houses) (Amendment) Notice 2018

(Made by the Financial Secretary under section 392A of the Securities and Futures Ordinance (Cap. 571))

1. Commencement

This Notice comes into operation on 27 April 2018.

2. Securities and Futures (Stock Markets, Futures Markets and Clearing Houses) Notice amended

The Securities and Futures (Stock Markets, Futures Markets and Clearing Houses) Notice (Cap. 571 sub. leg. AM) is amended as set out in sections 3 and 4.

3. Section 2 amended (prescribed markets)

(1) Section 2, heading, after "markets"—

Add

"and clearing houses".

(2) Section 2, after "the Schedule"—

Add

"(despite any subsequent change in their names)".

4. Schedule amended (prescribed markets)

(1) Schedule, heading, after "Markets"—

Add

"and Clearing Houses".

(2) Schedule, Part 1, after item 20—

B391

Add

- "20A. Main Securities Market operated by The Irish Stock Exchange plc".
- (3) Schedule, Part 1, item 40—

Repeal paragraph (u).

(4) Schedule, Part 1, before item 40(v)—

Add

- "(ua) Hanoi Stock Exchange;
- (ub) Hochiminh Stock Exchange;".
- (5) Schedule, Part 1, after item 40(zc)—

Add

"(zca) Miami International Securities Exchange, LLC;".

(6) Schedule, Part 1, after item 40(zs)—

Add

"(zsa) Pakistan Stock Exchange;".

(7) Schedule, Part 1, after item 40(zt)—

Add

- "(zta) Shanghai International Energy Exchange Co., LTD;".
- (8) Schedule, Part 1, after item 40(zza)—

B393

Add

"(zzab) Taipei Exchange (previously GreTai Securities Market);

(zzac) Taiwan Stock Exchange Corporation;".

(9) Schedule, Part 2, after item 16—

Add

"16A. Clearstream Banking S.A.".

(10) Schedule, Part 2, after item 18—

Add

"18A. Euroclear Bank S.A./N.V.".

(11) Schedule, Part 2—

Repeal item 21.

(12) Schedule, Part 2, after item 40—

Add

"40A. National Clearing Company of Pakistan Limited".

(13) Schedule, Part 2, after item 46—

Add

"46A. Shanghai International Energy Exchange Co., LTD"

(14) Schedule, Part 2, after item 50—

Add

"50A. Taipei Exchange (previously GreTai Securities Market)

50B. Taiwan Stock Exchange Corporation".

(15) Schedule, Part 2, after item 57—

Add

Securities and Futures (Stock Markets, Futures Markets and Clearing Houses) (Amendment) Notice 2018

L.N. 34 of 2018

Section 4

B395

"57A. Vietnam Securities Depository".

Paul CHAN Mo-po Financial Secretary

12 February 2018

Securities and Futures (Stock Markets, Futures Markets and Clearing Houses) (Amendment) Notice 2018

Explanatory Note Paragraph 1

L.N. 34 of 2018 B397

Explanatory Note

This Notice prescribes additional stock markets, futures markets and clearing houses for the purpose of section 1B(2)(c) of Part 1 of Schedule 1 to the Securities and Futures Ordinance (Cap. 571) (*Ordinance*), so as to exclude products traded on those markets and cleared through those clearing houses from the scope of OTC derivative products, which are regulated under the Ordinance.

2. This Notice also amends the English name of a market operator and clearing house.