

G.N. 3508

SECURITIES AND FUTURES COMMISSION ORDINANCE (Chapter 24) ('the Ordinance')
(Notice under sections 39 and 40)

It appears to the Securities and Futures Commission ('the Commission') that it is desirable in the interest of the investing public to exercise the powers conferred by sections 39 and 40 of the Ordinance.

THE COMMISSION THEREFORE GIVES NOTICE THAT:—

Law Siu Kong, Christopher ('Law'), trading as Lawsons Securities Company ('the Specified Entity'), a registered securities dealer, an exchange participant of Hong Kong Exchanges and Clearing Limited and a participant of the Hong Kong Securities Clearing Company Limited is, until further notice:—

1. pursuant to section 39 of the Ordinance, prohibited either directly or through agents from carrying on any business for which Law or the Specified Entity is registered except with the prior written consent of the Commission, such consent to be granted by the Chairman or Executive Director of Intermediaries and Investment Products of the Commission; and
2. pursuant to section 40 of the Ordinance, prohibited from disposing of or dealing with any assets held by Law or the Specified Entity in Hong Kong or elsewhere, whether as principal or agent, and whether such assets are assets of Law or the Specified Entity or otherwise, except with the prior written consent of the Commission, such consent to be granted by the Chairman or Executive Director of Intermediaries and Investment Products of the Commission.

This Notice comes into effect on 7 June 2002.

Pursuant to the provisions of section 44 of the Ordinance, an appeal may be made to the Securities and Futures Appeals Panel against this Notice within thirty days commencing on the day on which this Notice is served on you. Further, by section 43 of the Ordinance you may apply to the Commission for the Notice to be withdrawn, substituted or varied.

7 June 2002

Andrew SHENG *Chairman, For and on behalf of
the Securities and Futures Commission*