

G.N. 8055

SECURITIES AND FUTURES ORDINANCE (Chapter 571)

Pursuant to section 169 of the Securities and Futures Ordinance, the Securities and Futures Commission publishes the following amendments to the Code of Conduct for Persons Licensed by or Registered with the Securities and Futures Commission (Code of Conduct). These amendments shall become effective on 27 July 2018.

1. Amend paragraph 18.1 of the Code of Conduct by deleting ‘.’ and adding ‘or internet trading of securities that are not listed or traded on an exchange.’ at the end of this paragraph.
2. Amend paragraph 18.2(f) of the Code of Conduct by adding ‘An internet-based trading facility may be accessed through a computer, mobile device or other electronic device.’ at the end of this paragraph.
3. Amend the first paragraph of Introduction of Schedule 7 to the Code of Conduct by deleting ‘.’ and adding ‘or internet trading of securities that are not listed or traded on an exchange.’ at the end of the first sentence of this paragraph.

3 November 2017

Ashley ALDER *Chief Executive Officer,
Securities and Futures Commission*